

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

KOPELMAN et al.

Serial No. Not Yet Assigned

Filed: March 11, 2004

Title: **METHOD AND SYSTEM FOR ASSISTING IN APPLYING AN ORTHODONTIC TREATMENT**

(This is a Continuation Application of U.S. Patent Application 10/059,728 filed January 29, 2002)

INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

An Information Disclosure Statement is submitted herewith pursuant to 37 C.F.R. § 1.97-1.98. Please note the following particulars:

[NOTE: One only of items a, b, c, and d must be checked.]

- ☒ a. The enclosed statement is being filed within three months of the filing date of a national application, or within three months of the date of entry into the national stage as set forth in 37 C.F.R. § 1.491 in an international application, or before the mailing date of a first Office Action on the merits, whichever event occurs last.
- ☐ b. The enclosed statement is being filed after a first action on the merits but before the mailing date of a final action under 37 C.F.R. § 1.113, or a notice of allowance under 37 C.F.R. § 1.311.

The enclosed statement is accompanied by [check one]:

- ☐ i. a certification in part (e) below as specified in 37 C.F.R. § 1.97(e), or
- ☐ ii. a check in the amount required by 37 C.F.R. § 1.17(p).
- ☐ c. The enclosed statement is being filed after the mailing date of a final action under 37 C.F.R. § 1.113, or a notice of allowance under 37 C.F.R. § 1.311, but before payment of the issue fee.
- ☐ Certification report(e) below; and
- ☐ a check in the amount as required by § 1.17(p).
- ☐ d. The enclosed statement is being filed pursuant to 37 C.F.R. § 1.97(i), for placement in the file.
- ☐ e. Certification [Check one] [Certification is required only if box (b) (i) or box (c) is checked.]

[ ] I hereby certify that each item of information contained in the enclosed Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this statement,

or

[ ] I hereby certify that no item of information in the enclosed Information Disclosure Statement herewith was cited in a communication from a foreign patent office in a counterpart foreign application, or, to my knowledge after making reasonable inquiry, was known to any individual designated in 37 C.F.R. § 1.56(c) more than three months prior to the filing of this Information Disclosure Statement.

or

[ ] Appropriate certification is attached.

[ X ] f. If no check is enclosed and a fee is due in connection with this communication or if the check enclosed is insufficient, the Commissioner is authorized to charge any fee or additional fee due in connection with this communication to Deposit Account No. 14-0112.

[ X ] g. Copies of the documents (not previously cited in parent case) are attached herewith with a completed Form PTO-1449.

and

[ X ] Copies of the documents are not attached as allowed under CFR 1.98(d)(1)(2). The earlier application is identified as:

**U.S. Patent Application No. 10/059,728 filed Jan. 29, 2002**

[ X ] and Copies of US Patents/Publications not attached as allowed in Official Gazette Aug. 5, 2003/ Vol. 1273, no. 1.

The Examiner is respectfully requested to cite the documents listed on the attached Form PTO-1449 in the next Office Action. In so doing, the Examiner is respectfully requested to initial in the space adjacent to the listing of each document on the Form PTO-1449, and return a copy of the initialed Form PTO-1449 with the next communication to Applicants, to confirm that these documents have been considered by the Examiner and made of record in this application.

If the Examiner has any questions or wishes to discuss this application, kindly telephone the undersigned at the below-listed number.

Respectfully submitted,  
**NATH & ASSOCIATES**

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<b>FORM PTO-1449</b>  <b>INFORMATION DISCLOSURE CITATION</b>				Atty Docket 25537Y		Serial No. Not yet assigned	
				Applicant KOPELMAN et al.			
				Filing Date March , 2004		Group Art Unit Not yet assigned	

  

U.S. PATENT DOCUMENTS							
Examiner Initial		Document Number	Issue Date	Name	Class	Sub-Class	Filing Date
	AA	2002/0025503	02-2002	Chapoulaud et al.			
	AB	6413083	07-2002	Hamilton			
	AC	6350120	02-2002	Sachdera et al.			
	AD	5879158	03-1999	Doyle et al.			
	AE	6227850	05-2001	Chishti et al.			
	AF	6152731	11-2000	Jordan et al.			
	AG	6334772	01-01-2002	Taub et al.			03-27-2000
	AH	6318995	11-20-2001	Sachdeva et al.			04-28-2000
	AI						
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	AK						

  

FOREIGN PATENT DOCUMENTS							
		Document Number	Date	Country	Class	Sub-Class	Translation
	AL	WO 01/80761 A2	1 Nov 2001	WIPO			N/A
	AM	WO 99/34747	15 Jul 1999	WIPO			N/A
	AN	WO 99/16380	8 Apr 1999	WIPO			N/A
	AO						
	AP						

  

OTHER (Including Author, Title, Date, Pertinent Pages, etc.)			
	AR		Lawrence, A.F.; Straight Wire The Concept and Appliance, pp. 13-24, (1989), L.A. Wells Co., CA, US.
	AS		
	AT		

  

Examiner	Date Considered
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EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP ' 609.  
 Draw line through citation if not in conformance and not considered.  
 Include copy of this form with next communication to Applicant.